

Open Agreed Actions – September 2026

ID (Action Plan)	ID (Issue)	Audit Title	Title	Issue Status	Period	Priority Score	Original Timescale	Timescale	Follow-Up Assessment
5536	5186	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Monitoring and Reporting of Non-Accommodation Spot Purchase Activity	Pending Remediation	2024/25	2	30/09/2025		August 2026: Follow-Up in Progress
5537	5187	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Process for Sourcing Provision/Services	Pending Remediation	2024/25	2	31/07/2025	31/10/2025	August 2026: Follow-Up in Progress
5538	5188	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Framework Provision/Monitoring	Pending Remediation	2024/25	2	30/09/2025	31/10/2025	August 2026: Follow-Up in Progress
5539	5189	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Resources	Pending Remediation	2024/25	3	30/09/2025	31/10/2025	August 2026: Follow-Up in Progress
5720	5186	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Monitoring and Reporting of Non-Accommodation Spot Purchase Activity	Pending Remediation	2024/25	2	31/07/2025	31/10/2025	August 2026: Follow-Up in Progress
5721	5366	HC All Ages Commissioning - Use of Spot Purchasing Non Accommodation Activity	Identification of suppliers for therapeutic services	Pending Remediation	2024/25	3	28/02/2025	31/10/2025	August 2026: Follow-Up in Progress
2818	2627	HC Foster Care Placements	13. Mosaic Training	Pending Remediation	2024/25	2	30/09/2024		August 2026: Follow-Up Audit in Progress
2835	2644	HC Foster Care Placements	14. Mosaic System Champions	Pending Remediation	2024/25	3	30/09/2024		August 2026: Follow-Up Audit in Progress
2842	2651	HC Foster Care Placements	6. Procedures and Guidance for external foster care providers	Pending Remediation	2024/25	3	31/03/2025		August 2026: Follow-Up Audit in Progress
2890	2695	HC Foster Care Placements	3. External placement purchase orders with no end date and no breakdown.	Pending Remediation	2024/25	2	30/09/2024		August 2026: Follow-Up Audit in Progress
2904	2709	HC Foster Care Placements	2. Internal Foster Carer's Procedures within the Transaction team	Pending Remediation	2024/25	3	30/09/2024		August 2026: Follow-Up Audit in Progress
2913	2718	HC Foster Care Placements	1. Purchase orders not on Mosaic for internal foster care placements	Pending Remediation	2024/25	2	31/01/2025		August 2026: Follow-Up Audit in Progress
2925	2729	HC Foster Care Placements	8. Data Quality	Pending Remediation	2024/25	2	25/01/2025		August 2026: Follow-Up Audit in Progress
2928	2732	HC Foster Care Placements	11. Placement audits	Pending Remediation	2024/25	3	30/09/2024	31/03/2025	August 2026: Follow-Up Audit in Progress
2937	2741	HC Foster Care Placements	9. Mosaic Workflow	Pending Remediation	2024/25	2	31/03/2025		August 2026: Follow-Up Audit in Progress
2940	2744	HC Foster Care Placements	10. Record management of System Changes	Pending Remediation	2024/25	3	18/01/2024		August 2026: Follow-Up Audit in Progress

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6600	6182	HC Public Rights of Way (PROW) 2024/25	Enhance service KPI monitoring and reporting	Pending Remediation	2024/25	2	30/11/2025	31/12/2026	Not Due
6649	6230	HC Public Rights of Way (PROW) 2024/25	Continued Service Development	Pending Remediation	2024/25	3	31/03/2026	31/12/2026	Not Due
6302	5908	HC VfM Assessment Public Realm	Consideration of Lessons Learnt	Pending Remediation	2024/25	3	31/12/2025		August 2026: Will be Followed-Up during Current Audit of Public Realm
6303	5909	HC VfM Assessment Public Realm	Embedding of the Corporate VfM Strategy	Pending Remediation	2024/25	2	31/12/2025		August 2026: Will be Followed-Up during Current Audit of Public Realm
6304	5910	HC VfM Assessment Public Realm	Clarity on Route to Procurement to achieve VfM	Pending Remediation	2024/25	3	31/12/2025		August 2026: Will be Followed-Up during Current Audit of Public Realm
8819	8276	HC Bank Reconciliation 2025/26	Procedure Documentation	Pending Remediation	2025/26	3	31/07/2026		August 2026: Will be Followed-Up during Annual Audit
7627	7149	HC Council Tax 2025/26	Written Procedures	Pending Remediation	2025/26	3	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
7631	7153	HC Council Tax 2025/26	Maintaining and reviewing accounts in credit	Pending Remediation	2025/26	2	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
7821	7331	HC Council Tax 2025/26	Periodic reviews of discounts	Pending Remediation	2025/26	2	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
8627	8086	HC Court of Protection 2025/26	Some Appointee Current Accounts are carrying balances over £5k and may need to be reviewed for deputyship application.	Pending Remediation	2025/26	2	30/09/2026		August 2026: Follow-Up in Progress
8630	8089	HC Court of Protection 2025/26	Procedures for large transfers to savings need to be documented.	Pending Remediation	2025/26	2	30/09/2026		August 2026: Follow-Up in Progress
8850	8303	HC Court of Protection 2025/26	There may be opportunities to improve Financial Record-Keeping within Caspar	Pending Remediation	2025/26	3	30/09/2026		August 2026: Follow-Up in Progress
9098	8520	HC Court of Protection 2025/26	Follow up - Care home records of income and expenditure	Pending Remediation	2025/26	2	30/09/2026		August 2026: Follow-Up in Progress
9279	8659	HC Licensing - TEN's 2025/26	Data Quality and Records	Pending Remediation	2025/26	2	01/04/2027		Not Due
8356	7833	HC NNDR 2025/26	Rural Settlement List	Pending Remediation	2025/26	2	31/12/2026		August 2026: Will be Followed-Up during Annual Audit
8495	7963	HC NNDR 2025/26	Recording Charity Numbers in the Academy System	Pending Remediation	2025/26	3	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
8562	8028	HC NNDR 2025/26	VOA Reconciliation Discrepancies	Pending Remediation	2025/26	3	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
8703	8162	HC NNDR 2025/26	Empty Properties Review	Pending Remediation	2025/26	2	31/03/2027		August 2026: Will be Followed-Up during Annual Audit
8704	8163	HC NNDR 2025/26	Written Procedures	Pending Remediation	2025/26	3	31/03/2027		August 2026: Will be Followed-Up during Annual Audit

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7823	7333	HC Payroll 2025/26	Processes to identify potential 'ghost' employees are not in place	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
8077	7569	HC Payroll 2025/26	Salary Increases – manual calculations are not checked	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
8136	7624	HC Payroll 2025/26	Payroll Reconciliation Oversight is not defined	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
8156	7643	HC Payroll 2025/26	The monthly Payroll is not approved by a HC Officer	Pending Remediation	2025/26	2	31/08/2026		August 2026: Follow-Up in Progress
8157	7644	HC Payroll 2025/26	Faster payment bank charges and additional fees for processing transactions after the payroll cut-off date or are not known.	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
8159	7646	HC Payroll 2025/26	Mileage claims are not submitted in accordance with the Travel & Subsistence guidance.	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
9816	9161	HC Public Protection 2025/26	Procedure	Pending Remediation	2025/26	3	31/01/2027		Not Due
10040	9379	HC Public Protection 2025/26	Data, records and management oversight	Pending Remediation	2025/26	3	31/01/2027		Not Due
10041	9380	HC Public Protection 2025/26	Safeguarding	Pending Remediation	2025/26	3	31/01/2027		Not Due
8125	7613	HC Temporary Accommodation 2025/26	Recharges and Payments - Continuation to embed controls.	Pending Remediation	2025/26	3	31/08/2026		August 2026: Follow-Up in Progress
9151	8549	HC Transport Hub 2025/26	Key Contract Management	Pending Remediation	2025/26	2	31/12/2026		July 2026: Follow-Up audit complete #5612. This action is part complete further follow-up is required.
9154	8552	HC Transport Hub 2025/26	Recording of Compensation Events	Pending Remediation	2025/26	2	30/04/2026		July 2026: Follow-Up audit complete #5612. This action is part complete further follow-up is required.
9155	8553	HC Transport Hub 2025/26	Budget Management	Pending Remediation	2025/26	2	30/04/2026		July 2026: Follow-Up audit complete #5612. This action is part complete further follow-up is required.
9055	8478	HC Treasury Management 2025/26	Member Training	Pending Remediation	2025/26	3	31/12/2026		Not Due
9085	8508	HC Treasury Management 2025/26	Reconciliation Processes	Pending Remediation	2025/26	3	31/12/2026		Not Due